## SEC Form 4

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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| 1. Name and Address of Reporting Person*<br>Ansari Naqeeb A.                     |                   |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>EnteroMedics Inc</u> [ ETRM ] |                   | tionship of Reporting Per<br>all applicable)<br>Director<br>Officer (give title | rson(s) to Issuer<br>10% Owner<br>Other (specify |  |  |  |  |  |
|--|-------------------|----------|--|-------------------|---|--|--|--|--|--|--|
| (Last)<br>2800 PATTO   | (First)<br>N ROAD | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>10/06/2017                         | X                 | below)<br>VP, Int'l Sales & U   | below)   |  |  |  |  |  |
| (Street)   |                   |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                               | 6. Indiv<br>Line) | 6. Individual or Joint/Group Filing (Check Applicable Line)                     |  |  |  |  |  |  |
| ST. PAUL   | MN                | 55113    |  | X                 | Form filed by One Rep   | oorting Person                                   |  |  |  |  |  |
| (City)   | (State)           | (Zip)    |  |                   | Form filed by More that Person  | an One Reporting                                 |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                   |          |  |                   |   |  |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3)          | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--|--|---|-----------------------------|---|---|---------------|-------|---|---|---|
|  |  |   | Code                        | v | Amount  | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.1.4)  |
| Common Stock, \$0.01 par value per share |  |   |                             |   |   |               |       | 143   | D   |   |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | Derivative |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | te                 | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|------------|-----|--|--------------------|---|-------------------------------------|---|--|--|--|
|   |   |  |   | Code                         | v | (A)        | (D) | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$2.05  | 10/06/2017                                 |   | A                            |   | 145,226    |     | (1)  | 10/06/2027         | Common<br>stock   | 145,226                             | \$0   | 145,226  | D  |  |

Explanation of Responses:

1. Subject to continued service of employee, 25% of shares will vest on one-year anniversary of October 6, 2017 and the remaining 75% of shares will vest in as nearly equal amounts as possible on the last day of each of the next 36 months thereafter

### /s/ Scott P. Youngstrom,

attorney-in-fact

10/12/2017

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.