SEC Form 4

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FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287					
Estimated average burden						
hours per response:	0.5					

to Section 16. Froid obligations may Instruction 1(b).	continue. See	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		Estimated average burden hours per response:	0.5
	ess of Reporting Perso <u>CH THOMAS</u> (First) MANECER		2. Issuer Name and Ticker or Trading Symbol <u>ReShape Lifesciences Inc.</u> [RSLS] 3. Date of Earliest Transaction (Month/Day/Year) 06/15/2021	RSLS] (Check all applicable) Director X Officer (give title below)		
(Street) SAN CLEMENTE (City)	CA (State)	92673 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	nt/Group Filing (Check Applid d by One Reporting Person d by More than One Reportin		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

L. Title of Security (Instr. 3) Date (Month/Day/Ye		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)				
Common Stock, \$0.001 par value per share	06/15/2021		D ⁽¹⁾		4,635(1)	D	\$3.05 ⁽¹⁾	0	D					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., put	s, calls, warrant	s, options	, convertible securities	5)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	Expiration Date (Month/Day/Year) uired or osed)) ., 2, 3, 4		Expiration Date Amount of (Month/Day/Year) Securities			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Disposed of pursuant to the Agreement and Plan of Merger by and among the issuer, Obalon Therapeutics, Inc. ("Obalon"), and Optimus Merger Sub, Inc., which became effective on June 15, 2021, in exchange for 2,613 shares of common stock of Obalon having a market value of \$3.05 per share at the effective time of the merger.

<u>/s/ Thomas Stankovich</u>	06/17/2021
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.