SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1 0		2. Issuer Name and Ticker or Trading Symbol <u>ReShape Lifesciences Inc.</u> [RSLS]	(Check	all applicable)	erson(s) to Issuer 10% Owner Other (specify
(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/22/2021		below) Chief Financia	below)
CA	92673	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Group Fili Form filed by One Re Form filed by More th Person	porting Person
	CH THOM	CA 92673	CH THOMAS ReShape Lifesciences Inc. [RSLS] (First) (Middle) MANECER 3. Date of Earliest Transaction (Month/Day/Year) CA 92673	CH THOMAS ReShape Lifesciences Inc. [RSLS] (Check X (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X MANECER 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. India Line) CA 92673 X	CH THOMAS ReShape Lifesciences Inc. [RSLS] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title below) MANECER 3. Date of Earliest Transaction (Month/Day/Year) Chief Financia V 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Fili X Form filed by One Re Form filed by More th

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, \$0.001 par value per share	07/22/2021		A		282,382(1)	A	\$ <mark>0</mark>	315,995	D		
Common Stock, \$0.001 par value per share	07/22/2021		A		90,362 ⁽²⁾	A	\$ <mark>0</mark>	406,357	D		
Common Stock, \$0.001 par value per share	09/10/2021		S ⁽³⁾		48,366	D	\$2.89 ⁽⁴⁾	357,991	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warans, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares will vest and be issued with respect to 123,542 shares on July 22, 2021 and the remaining shares vesting monthly thereafter for 36 months pursuant to a restricted stock unit granted under the ReShape Lifesciences Inc. Second Amended and Restated 2003 Stock Incentive Plan.

2. These shares will vest and be issued monthly over 36 months beginning on July 22, 2021 pursuant to a restricted stock unit granted under the ReShape Lifesciences Inc. Second Amended and Restated 2003 Stock Incentive Plan.

3. These shares were sold to cover taxes in connection with the vesting of restricted stock units.

4. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$2.88 to \$2.90, inclusive. The Reporting Person undertakes to provide to ReShape Lifesciences Inc., any security holder of ReShape Lifesciences Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (4) to this Form 4.

<u>/s/Thomas Stankovich</u> ** Signature of Reporting Person

09/17/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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