SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					or	Sectio	n 30(n)	of the	Investmen	it Cor	npany Act o	1940						
1. Name and Jansz Ar		Reporting Person [*]							ker or Trad I <u>C</u> [ETR		ymbol			ck all applic	able)) Person(s) to 10%	lssuer 6 Owner	
(Last) (First) (Middle) 2800 PATTON ROAD						3. Date of Earliest Transaction (Month/Day/Year) 05/04/2016									(give title	Oth belo	er (specify ww)	
						Amen	dment, I	Date o	of Original I	Filed	(Month/Day	/Year)	Line)		Filing (Check		
(Street) ST. PAUL MN 55113													2	X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(Sta		Zip)															
1. Title of Se	ecurity (Instr.		le I - Noi	2. Trans	vative saction	2	A. Deem	ed	3.		4. Securiti	es Acquired	I (A) or	5. Amour Securitie	nt of	6. Ownership		
1. Title of Security (Instr. 3) Date (Month/D					/Day/Ye	ar) if	Execution Date, if any (Month/Day/Year)		Code (Instr.		Disposed 5)		f (D) (Instr. 3, 4 and		ally following d	Form: Direct (D) or Indirect (I) (Instr. 4)		
				<u> </u>					Code	V	Amount (A) or (D) P		Price	ice Transaction(s) (Instr. 3 and 4)				
Common S	Stock													1,6	67 ⁽¹⁾	Ι	Family Trust ⁽²⁾	
Common Stock											10,0)00 ⁽¹⁾	Ι	Pibrac Property Holding PTY ⁽³⁾				
		т									sed of, onvertib			Owned	1			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transaction Code (Instr. 8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative (Instr. 3 an	l Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Owner Form: Iy Direct or Indii (I) (Inst	(D) Benefic rect (Instr. 4		
					Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	Amount or Number of Shares					
Director Option (right to buy)	\$39.45 ⁽¹⁾								05/05/2013	1 ⁽⁴⁾	05/05/2021	Common Stock	1,666		1,666 ⁽¹	l) D		
Director Option (right to buy)	\$41.55 ⁽¹⁾								05/09/2012	2 ⁽⁴⁾	05/09/2022	Common Stock	666		666 ⁽¹⁾) D		
Stock Option (right to buy)	\$39.75 ⁽¹⁾								01/22/2013	3(5)	01/22/2017	Common Stock	3,333		3,333 ⁽¹	1) D		
Warrants	\$17.1 ⁽¹⁾								02/27/20:	13	02/27/2018	Common Stock	4,000		4,000 ⁽¹	¹⁾ I	Pibrac Propert Holding PTY ⁽³⁾	
Director Option (right to buy)	\$13.2 ⁽¹⁾								05/08/2013	3(4)	05/08/2023	Common Stock	666		666 ⁽¹⁾) D		
Option (right to buy)	\$19.65 ⁽¹⁾								05/31/2013	3(6)	05/31/2017	Common Stock	3,333		3,333 ⁽¹	1) D		
Director Option (right to buy)	\$27.6 ⁽¹⁾								05/07/2014	4 ⁽⁴⁾	05/07/2024	Common Stock	1,666		1,666 ⁽¹	1) D		
Performance Option	\$17.1 ⁽¹⁾								11/14/2014	(7)	10/06/2018	Common	1,666		1,666 ⁽¹	1) D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction of Expiration Date A Code (Instr. Derivative (Month/Day/Year) S 8) Securities U Acquired D		7. Title an Amount o Securities Underlyin Derivative (Instr. 3 an	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Director Option (right to buy)	\$16.8 ⁽¹⁾							05/06/2015 ⁽⁴⁾	05/06/2025	Common Stock	1,666		1,666 ⁽¹⁾	D	
Director Option (right to buy)	\$16.8 ⁽¹⁾							06/06/2015 ⁽⁸⁾	05/06/2025	Common Stock	1,666		1,666 ⁽¹⁾	D	
Director Option (Right to Buy)	\$0.75	05/04/2016		A		3,000		05/04/2016 ⁽⁴⁾	05/04/2026	Common Stock	3,000	\$0.00	3,000	D	

Explanation of Responses:

1. On January 6, 2016, EnteroMedics Inc. effected a 1-for-15 reverse stock split of its issued and outstanding shares of common stock, par value \$0.01 per share, resulting in a proportional decrease in the total shares of common stock beneficially owned by the reporting person. all previously reported exercise prices, option grants and similar instruments convertible into common stock were proportionally adjusted to reflect the reverse split.

2. The reporting person disclaims beneficial interest in the shares held by the Trust.

3. The reporting person disclaims beneficial interest in the shares, except to the extent of his pecuniary interest in such shares.

4. Vests immediately as to 25% on date noted and thereafter in cumulative installments of 1/36th per month.

5. Vests immediately as to 1,111 shares on the date noted; 1,111 shares on 1/22/2014 and 1,111 shares on 1/22/2015.

6. Vests immediately as to 1,111 shares on the date noted; 1,111 shares on 5/31/2014 and 1,111 shares on 5/31/2015.

7. On October 6, 2014, the reporting person was granted an option to purchase 1,666 shares of common stock upon the satisfaction of certain performance criteria prior to March 31, 2015. The performance criteria were met, resulting in vesting of the option in full.

8. Vests in cumulative installments of 1/12th per month beginning the date shown.

Remarks:

THIS FILING REFLECTS THE POST SPLIT NUMBERS OF ALL SHARES, OPTIONS AND SIMILAR INSTRUMENTS HELD BY THE REPORTING PERSON AS PREVIOUSLY REPORTED.

/s/ Greg S. Lea, Attorney-in-	05/0
<u>Fact for Anthony P. Jansz</u>	05/0
** Signature of Reporting Person	Date

5/06/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.